
This is an electronic reprint of the original article.

This reprint may differ from the original in pagination and typographic detail.

Author(s): Havu, P. & Havu, V. & Puska, M. J. & Nieminen, R. M.

Title: Nonequilibrium electron transport in two-dimensional nanostructures modeled using Green's functions and the finite-element method

Year: 2004

Version: Final published version

Please cite the original version:

Havu, P. & Havu, V. & Puska, M. J. & Nieminen, R. M. 2004. Nonequilibrium electron transport in two-dimensional nanostructures modeled using Green's functions and the finite-element method. *Physical Review B*. Volume 69, Issue 11. 115325/1-13. ISSN 1550-235X (electronic). DOI: 10.1103/physrevb.69.115325.

Rights: © 2004 American Physical Society (APS). This is the accepted version of the following article: Havu, P. & Havu, V. & Puska, M. J. & Nieminen, R. M. 2004. Nonequilibrium electron transport in two-dimensional nanostructures modeled using Green's functions and the finite-element method. *Physical Review B*. Volume 69, Issue 11. 115325/1-13. ISSN 1550-235X (electronic). DOI: 10.1103/physrevb.69.115325, which has been published in final form at <http://journals.aps.org/prb/abstract/10.1103/PhysRevB.69.115325>.

Nonequilibrium electron transport in two-dimensional nanostructures modeled using Green's functions and the finite-element method

P. Havu,¹ V. Havu,² M. J. Puska,¹ and R. M. Nieminen¹

¹*Laboratory of Physics, Helsinki University of Technology, P.O. Box 1100, FIN-02015 HUT, Finland*

²*Institute of Mathematics, Helsinki University of Technology, P.O. Box 1100, FIN-02015 HUT, Finland*

(Received 6 August 2003; published 19 March 2004)

We use the effective-mass approximation and the density-functional theory with the local-density approximation for modeling two-dimensional nanostructures connected phase coherently to two infinite leads. Using the nonequilibrium Green's-function method the electron density and the current are calculated under a bias voltage. The problem of solving for the Green's functions numerically is formulated using the finite-element method (FEM). The Green's functions have nonreflecting open boundary conditions to take care of the infinite size of the system. We show how these boundary conditions are formulated in the FEM. The scheme is tested by calculating transmission probabilities for simple model potentials. The potential of the scheme is demonstrated by determining nonlinear current-voltage behaviors of resonant tunneling structures.

DOI: 10.1103/PhysRevB.69.115325

PACS number(s): 72.10.-d, 71.15.-m

I. INTRODUCTION

Two-dimensional (2D) nanodevices are structures in which electrons move in a restricted nanometer-size area. The phase-coherence length of electrons is of the order of the dimensions of the device. Electron transport through nanodevices cannot be modeled using the traditional description based on diffusion or Boltzmann equations. One has to use a method which takes the quantum-mechanical character of the carriers, e.g., quantum interference, explicitly into account.¹

Nanodevices are fabricated using semiconductor-heterostructure techniques. A layer of semiconductor (e.g., AlGaAs) is grown on top of another semiconductor (GaAs) with molecular-beam epitaxy. The two semiconductors have different band gaps so that electrons accumulate in the potential well at the semiconductor interface and form a 2D electron gas. Above the semiconductor layer metallic gates are fabricated. Applying voltage on them the electron motion can also be restricted in the horizontal direction and nanodevices, such as quantum point contacts and quantum dots, are created.

The quantum-mechanical modeling of 2D nanostructures is usually based on the effective-mass approximation. For the ground-state carrier distribution one can employ, for example, Monte Carlo methods² or density-functional theory (DFT).³ The description of isolated structures is rather straightforward because the system is finite and all the electron states can be calculated. Often the nanodevice is connected to a measuring system by leads and the current through the system is measured. If the connection is weak the nanostructure can still be approximated as an isolated system, but in the case of strong coupling the combined nanostructure-leads system has to be described. In this case the leads can have a considerable effect on the electronic structure of the nanodevice. The electronic structure of this kind of open system can be obtained using DFT by calculating the wave functions in the scattering formalism using the Lippmann-Schwinger equation.⁴ The method also relates to the conductance of the system in the limit of zero bias. An-

other possibility is to use DFT combined with the nonequilibrium Green's-function (NEGF) method.⁵ In this scheme the wave functions are not calculated explicitly in the device region. The NEGF approach also enables the addition of a bias voltage between the leads and the calculation of the current through the system also in the nonequilibrium state.

The electronic-structure calculations using the Green's functions demand extensive computer resources. Therefore the numerical method for the Green's-function implementation has to be chosen carefully. There is a wide range of different numerical methods available today for electronic-structure calculations, e.g., the finite-difference method,⁶ the linear combinations of atomic orbitals method, the wavelet method,⁷ and the plane-wave method⁸ among the most popular ones. Previously, the Green's-function method coupled to DFT has been used in nanostructure calculations employing atomic orbitals,^{9,10} localized optimized orbitals in real space,¹¹ Gaussian orbitals,¹² or wavelets¹³ as basis functions.

In the present work we have adopted the finite-element method (FEM) to study 2D nanostructures within the effective-mass theory and using the DFT-NEGF scheme. Previously, in electronic-structure calculations the FEM has been used, for example, in Refs. 14–18. The main advantages gained by the FEM in the present context are the possibility to control the accuracy of the approximation via mesh refinements, the ability to simulate easily different geometrical configurations of the system, and the ease in the treatment of the boundary conditions. Moreover, the evaluation of the basis functions is fast and the ensuing sparse linear systems allow the use of fast sparse solvers. In practice, we have chosen to use piecewise polynomials as basis functions. The polynomials are very fast and stable to evaluate in any computational environment. The approximation properties of the polynomials are well known and several error bounds are available.¹⁹ In the FEM the open boundary conditions are easier to implement than in the finite-difference method¹ and in the basis set methods^{9,10,13} in which they are derived by first writing down the infinite discretization matrix and then cutting out the central area from it. In the FEM these boundary conditions are written in

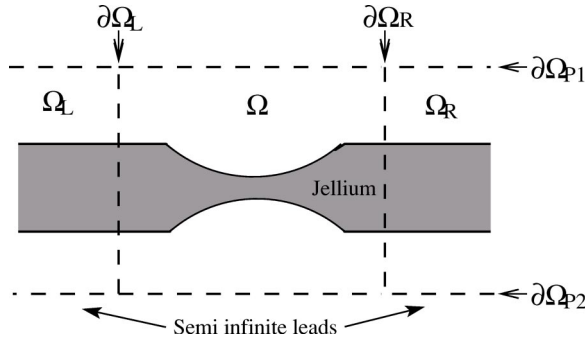


FIG. 1. Model nanostructure between two infinite leads.

a simpler and more intuitive way as will be shown in this work.

We use effective atomic units which are derived by putting the fundamental constants $e = \hbar = m_e = 1$, and the material constants, the effective electron mass and the dielectric constant $m^* = \epsilon = 1$ respectively. The effective atomic units are transformed to the usual atomic units using the relations

$$\text{Length: } 1 a_0^* = 1 \frac{\epsilon}{m^*} a_0 \approx \frac{\epsilon}{m^*} (0.529 177 \times 10^{-10}) m,$$

$$\begin{aligned} \text{Energy: } 1 \text{ hartree}^* &= 1 \text{ Ha}^* = 1 \frac{m^*}{\epsilon^2} \text{ Ha} \\ &\approx \frac{m^*}{\epsilon^2} 27.2116 \text{ eV}, \end{aligned}$$

$$\text{Current: } 1 \text{ a.u.}^* = 1 \frac{m^*}{\epsilon^2} \text{ a.u.} \approx \frac{m^*}{\epsilon^2} 6.6231 \text{ mA}.$$

The organization of the present paper is as follows. In Sec. II. we present our 2D nanostructure model and explain how the Green's functions are used in the electronic-structure and current calculations. In Sec. III we formulate the solution of the Green's functions within the FEM. Finally, in Sec. IV we deal with our test cases, which include confining well and bottleneck model potentials and double-wall barrier systems. Section V contains the conclusions.

II. MODEL AND GREEN'S-FUNCTION FORMULATION

A. The model for two-dimensional nanostructures

In real nanodevices electrons of the 2D electron gas are in a potential well at the interface between two semiconductors. The electron density in the well is neutralized by a positively ionized donor layer separated from the potential well. The lateral confinement of electrons is obtained by gate voltages. Electrons are in practice in the ground state with respect to the motion perpendicular to the interface. Therefore our model is strictly two dimensional.

A schematic sketch of the model is in Fig. 1. It shows the region of interest between two semi-infinite leads. The potential profile is a combination of interactions between electrons and the positive constant background charge (jellium), and the external potential caused by the gate voltages. Thus, the layer of ionized donors and the 2D electron layer coincide in our model. In many models the potential profile is

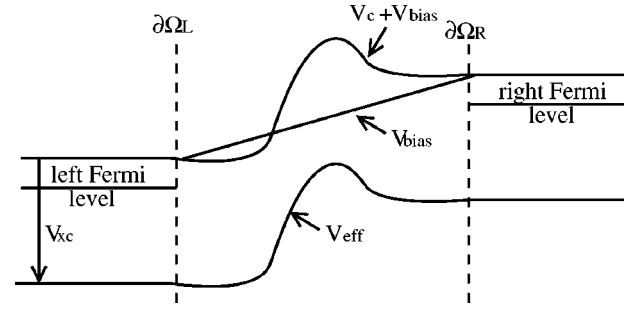


FIG. 2. Effective potentials and Fermi levels under the bias voltage.

approximated using a harmonic potential profile.^{3,20} In our model this approximation cannot be used, because we solve for the electrostatic potential of an infinite system requiring that the system is charge neutral. In order to keep the model simple the confinement of the electrons is established by shaping the background charge and, optionally, by external potentials in certain regions of the system.

We divide the infinite system into three separate areas as shown in Fig. 1, the central area Ω , the left region Ω_L , and the right region Ω_R . We denote the boundary between the regions Ω and Ω_L as $\partial\Omega_L$ and between the regions Ω and Ω_R as $\partial\Omega_R$. The Green's functions are calculated in the region Ω . $\partial\Omega_L$ and $\partial\Omega_R$ are nonreflecting open boundaries. On the other two boundaries $\partial\Omega_{P1/P2}$, which are far enough from the important device region, the potential is assumed to be infinite, so that the Green's functions vanish there.

We solve for the self-consistent electron structure of the system iteratively. The electron density is calculated from the Green's functions. The effective potential is calculated from the electron density as usual in the DFT within the local-density approximation (LDA). After mixing the new effective potential with potential from the previous iteration the electron density is recalculated. The loop is repeated until convergence is achieved.

The effective potential has four terms

$$V_{eff} = V_c + V_{xc} + V_{bias} + V_{gate}, \quad (1)$$

where V_c and V_{xc} are the Coulomb and the exchange-correlation potentials arising from the charge distributions, respectively. The calculation of V_c is discussed below in more detail. For V_{xc} we use the recent 2D-LDA functional by Attacalite *et al.*^{21,22}

V_{bias} takes care of the boundary conditions under the bias voltage.⁵ The total electrostatic potential has different levels in the right and left leads. This introduces V_{bias} as a linear ramp potential over Ω . In the regions Ω_L and Ω_R , V_{eff} is calculated as a potential of the infinite (jellium) wire. Then V_{eff} is also continuous if Ω is large enough, so that the electron density in $\partial\Omega_{L/R}$ is close to the electron density of an infinite wire. If this is not the case a discontinuity causes unphysical effects near the boundaries $\partial\Omega_{L/R}$.

The ensuing energy scheme is shown in Fig. 2. Also the Fermi levels in the right and left leads differ by the applied bias voltage ΔV_{bias} . V_{gate} is an external gate potential. Using gate voltages it is possible to increase or decrease the

potential in certain regions, for example, to increase the potential walls and to decrease the potential wells of a bare jellium system.

Below we use a notation in which a point inside the two-dimensional region Ω is denoted by r and a point outside the region Ω in region Ω_R or Ω_L by r_e . A point on the boundary $\partial\Omega_L$ is r_L and a point on $\partial\Omega_R$ is r_R .

B. Green's functions in electronic-structure calculations

We use Green's functions in calculating the electronic structure and the current under an external bias voltage. The theory is explained in more detail in Refs. 1 and 5. The electron density is calculated from the Green's function $G^<$. In order to obtain $G^<$ one has to solve first for the retarded Green's function G^r from

$$[\omega - \hat{H}(r)]G^r(r, r'; \omega) = \delta(r - r'), \quad (2)$$

where ω is the electron energy and \hat{H} is the DFT Hamiltonian of the system,

$$\hat{H}(r) = -\frac{1}{2}\nabla^2 + V_{eff}(r). \quad (3)$$

In this case r is a two-dimensional variable. Its components along and perpendicular to the leads are x and y , respectively. G^r is zero on the boundaries parallel to the leads (see Fig. 1). If ω is smaller than the bottom of the potential V_{eff} in the lead Eq. (2) gives exponentially decaying solutions there. Otherwise the solution oscillates with a very slowly decaying amplitude to the infinity. In order to ensure this property ω has a small imaginary part $\omega = \omega' + i\eta$. $i\eta$ takes also care of separation between retarded and advanced Green's functions. In final results $\eta \rightarrow 0_+$.

The form of $G^r(r, r')$ in a uniform jellium wire is shown in Fig 3. The real part has a pole at $r = r'$, while the imaginary part behaves smoothly everywhere. This is why the imaginary part is much easier to approximate numerically than the real part.

In equilibrium, when the Fermi functions in Ω_L and Ω_R are identical, $f_L(\omega) \equiv f_R(\omega)$, we obtain

$$G^<(r, r'; \omega) = 2f_{L/R}(\omega)G^r(r, r'; \omega). \quad (4)$$

This equation is also valid under a bias voltage at energies ω for which $f_L(\omega) = f_R(\omega)$ (in practice, $f_{L/R} = 1$ for those energies). If Eq. (4) is not applicable, $G^<$ has to be calculated in a more complicated way. Equation (2) can be reformulated using the so-called retarded self-energies of the leads, Σ_R^r and Σ_L^r , as

$$[\omega - \hat{H}_0 - \Sigma_L^r(\omega) - \Sigma_R^r(\omega)]G^r(r, r'; \omega) = \delta(r - r'). \quad (5)$$

Above, \hat{H}_0 is the Hamilton operator for the isolated central area Ω . In practice, $\Sigma_{L/R}$ can be calculated from the boundary conditions for the Green's functions at $\partial\Omega_{L/R}$. $\Sigma_{L/R}$ are functions with nonzero values only at the boundaries $\partial\Omega_{L/R}$. Next we define the functions $\Gamma_{L/R}$ as

$$i\Gamma_L = \Sigma_L^r - \Sigma_L^a = 2i \operatorname{Im}(\Sigma_L^r),$$

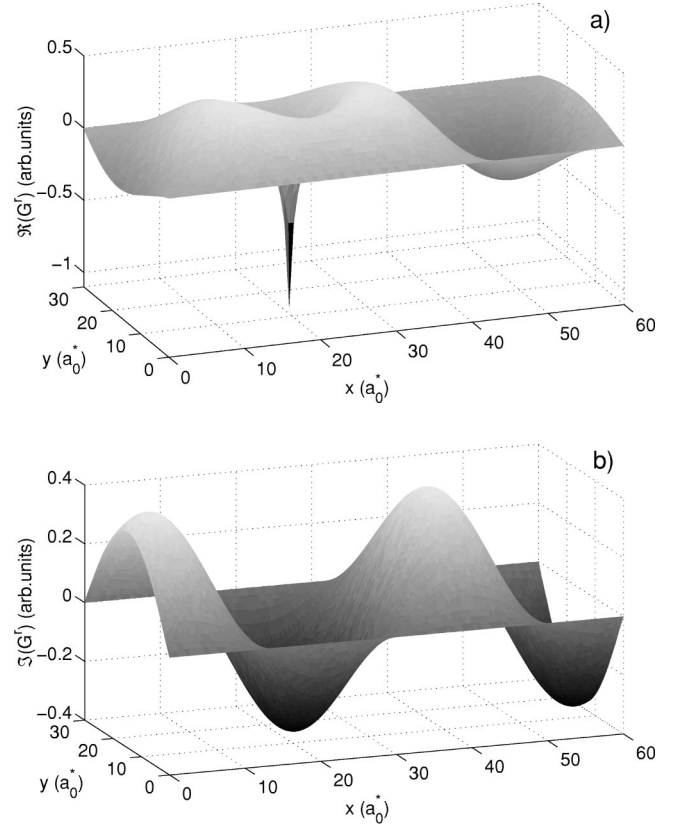


FIG. 3. Real (a) and imaginary (b) parts of the Green's function $G^r(r, r')$ for a uniform jellium wire. $r = (x, y)$ and $r' = (21.6, 15.4)$ (the position of the pole).

$$i\Gamma_R = \Sigma_R^r - \Sigma_R^a = 2i \operatorname{Im}(\Sigma_R^r). \quad (6)$$

$\Sigma_{L/R}^a$ are the self-energies for the advanced Green's function $G^a = (G^r)^*$. One can then write the electron density as the sum of the electron flows from the leads to the region Ω , using

$$\begin{aligned} G^<(r, r'; \omega) = & -if_R(\omega) \int_{\partial\Omega_R} \int_{\partial\Omega_R} G^r(r, r_R; \omega) \Gamma_R(r_R, r'_R; \omega) \\ & \times G^a(r'_R, r'; \omega) dr_R dr'_R \\ & -if_L(\omega) \int_{\partial\Omega_L} \int_{\partial\Omega_L} G^r(r, r_L; \omega) \Gamma_L(r_L, r'_L; \omega) \\ & \times G^a(r'_L, r'; \omega) dr_L dr'_L, \end{aligned} \quad (7)$$

where $f_{R/L}$ are the Fermi functions in the right and left leads. This equation has to be used in nonequilibrium situations when $f_R \neq f_L$.

Equation (7) corresponds to the electron density due to the states extending to infinity in the leads. Equation (4) includes also the electron density of possible bound states, which are localized near Ω and decay exponentially in the leads.

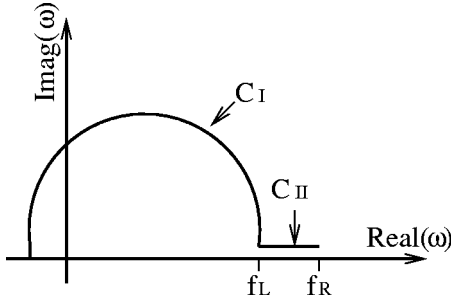


FIG. 4. Integration path used in Eq. (8).

In order to calculate total electron density we integrate over the electron energy ω ,

$$\rho(r) = \frac{-1}{2\pi} \int_{-\infty}^{\infty} \text{Im}[G^<(r, r; \omega)] d\omega. \quad (8)$$

We use both equations (4) and (7) in this integration. Equation (4) is analytic in the upper half of the imaginary ω plane whereas Eq. (7) has poles below and above the real ω axis. Thus, using Eq. (4) it is possible to transfer the integral path from the real axis to the complex plane. Our integration path is shown in Fig. 4. The first part is a semicircle C_I in the complex ω plane using Eq. (4) and it takes care of the possible bound states below the energy bands of the leads. The rest of the integration, C_{II} , is close to the real axis and there Eq. (7) is used. On the semicircle only few integration points are needed because the rapid variations of $G^<$ are smeared out when the integration leaves the real axis. This is specially useful for the bound states, which give rise to sharp peaks near the real axis.

Computationally, it is faster to solve for $G^<$ from Eq. (7) than from Eq. (4). Equation (4) results in the inversion of the entire matrix, because one needs $G^r(r, r')$ in all the discretization points of Ω . Electron density in Eq. (8) is calculated using the diagonal entries of the imaginary part $\text{Im}[G^r(r, r)]$. Inversion of the matrix using direct sparse routines from HSL (Ref. 23) occurs as follows. First one performs the symbolic analysis and factorization to produce an ordering that reduces the fill-in. After that a numerical factorization with pivoting is performed producing the Cholesky factor of the matrix. The set of linear equations with different right-hand sides are solved. The number of equation is equal to the dimension of the matrix. Equation (7) needs only the Green's functions $G^r(r, r')$ for $r' = r_{L/R}$ on the boundaries $\partial\Omega_{L/R}$. This means that after factorization one has to solve for a set of only as many linear equations as there are discretization points on $\partial\Omega_{L/R}$.

For 2D systems the use of Eq. (4) is justified because the analytic continuation of the integrand reduces the number of points needed in the numerical integration of Eq. (8) and because the discretization error is smaller for Eq. (4) than for Eq. (7). Namely, only the imaginary part of G^r is used in Eq. (8) so that the pole of $\text{Re}(G^r)$ does not cause any major numerical problems if Eq. (4) is used.

C. Electric current

The electric current is also calculated using the Green's functions. The electron-tunneling probability through the central region is obtained from

$$T(\omega) = \int_{\partial\Omega_L} \int_{\partial\Omega_L} \int_{\partial\Omega_R} \int_{\partial\Omega_R} \Gamma_L(r_L, r'_L; \omega) G^r(r'_L, r_R; \omega) \times \Gamma_R(r_R, r'_R; \omega) G^a(r'_R, r_L; \omega) dr_L dr'_L dr_R dr'_R, \quad (9)$$

and the total current is calculated integrating over the energy ω and taking care of the electron occupations in both leads. In the effective atomic units the result is

$$I = \frac{1}{\pi} \int_{-\infty}^{\infty} T(\omega) [f_L(\omega) - f_R(\omega)] d\omega. \quad (10)$$

III. FINITE-ELEMENT METHOD FOR SOLVING GREEN'S FUNCTIONS

A. Variational formulation

The most demanding computational task is to find the Green's function at different energies as presented above. To this end, we first divide the domain of the problem into two disjoint parts, the computational domain Ω and the exterior domain Ω^e . Only the computational domain is discretized whereas the exterior is taken care of by the corresponding Green's function (see below Sec. III B). First, we cast Eq. (2) into a variational, or weak, formulation for the domain Ω . During the derivation we frequently make use of the Green's formula

$$\int_{\Omega} \nabla u \cdot \nabla v dr = \int_{\partial\Omega} \frac{\partial u}{\partial n} v ds - \int_{\Omega} v \nabla^2 u dr, \quad (11)$$

valid for a large class of functions, see Ref. 24. Above, n denotes the outward normal of Ω , and the line integration is taken in the counterclockwise direction around the 2D area Ω .

To proceed, we multiply Eq. (2) by a sufficiently smooth function v and integrate the resulting identity over Ω giving

$$\begin{aligned} & \int_{\Omega} v(r) [\omega - \hat{H}(r)] G^r(r, r'; \omega) dr \\ &= \int_{\Omega} v(r) \left\{ \frac{1}{2} \nabla^2 G^r(r, r'; \omega) + [\omega - V_{eff}(r)] \right. \\ & \quad \left. \times G^r(r, r'; \omega) \right\} dr \\ &= \int_{\Omega} v(r) \delta(r - r') dr = v(r'). \end{aligned} \quad (12)$$

The use of the Green's formula of Eq. (11) gives

$$\begin{aligned}
& \int_{\Omega} v(r) \frac{1}{2} \nabla^2 G^r(r, r'; \omega) dr \\
&= - \int_{\Omega} \nabla v(r) \cdot \frac{1}{2} \nabla G^r(r, r'; \omega) dr \\
&+ \int_{\partial\Omega_L} v(r_L) \frac{1}{2} \frac{\partial G^r(r_L, r'; \omega)}{\partial n_L} dr_L \\
&+ \int_{\partial\Omega_R} v(r_R) \frac{1}{2} \frac{\partial G^r(r_R, r'; \omega)}{\partial n_R} dr_R. \quad (13)
\end{aligned}$$

Thus, the original problem of Eq. (2) is equivalent to the formulation

$$\begin{aligned}
& \int_{\Omega} \left\{ -\nabla v(r) \cdot \frac{1}{2} \nabla G^r(r, r'; \omega) \right. \\
&+ v(r) [\omega - V_{eff}(r)] G^r(r, r'; \omega) \Big\} dr \\
&+ \int_{\partial\Omega_L} \frac{1}{2} \frac{\partial G^r(r_L, r'; \omega)}{\partial n_L} v(r_L) dr_L \\
&+ \int_{\partial\Omega_R} \frac{1}{2} \frac{\partial G^r(r_R, r'; \omega)}{\partial n_R} v(r_R) dr_R = v(r'), \quad (14)
\end{aligned}$$

for any sufficiently smooth function v .

In order to obtain a solvable system, the boundary conditions must be supplied at the boundaries $\partial\Omega_L$ and $\partial\Omega_R$. For conciseness we discuss only the case of $\partial\Omega_L$, the other case $\partial\Omega_R$ being similar. Consider the exterior problem

$$\begin{aligned}
& [\omega - \hat{H}(r_e)] g_e(r_e, r'_e; \omega) = \delta(r_e - r'_e), \quad r'_e \in \Omega_L, \\
& g_e(r_e, r'_e; \omega) = 0, \quad r_e \in \partial\Omega_L, \partial\Omega_{P1/P2}, \quad (15)
\end{aligned}$$

for the Green's functions g_e of the semi-infinite lead. In boundaries $\partial\Omega_{P1/P2}$ g_e has the same boundary conditions as G^r . The boundary condition in $\partial\Omega_L$ makes equations below simpler, although it is possible to write them without this restriction.

It follows that any sufficiently smooth function u can be written in the form

$$\begin{aligned}
u(r'_e) &= \int_{\Omega_L} u(r_e) \delta(r_e - r'_e) dr_e \\
&= \int_{\Omega_L} u(r_e) [\omega - \hat{H}(r_e)] g_e(r_e, r'_e; \omega) dr_e \\
&= \int_{\Omega_L} u(r_e) \left\{ \frac{1}{2} \nabla^2 g_e(r_e, r'_e; \omega) \right. \\
&\quad \left. + [\omega - V_{eff}(r)] g_e(r_e, r'_e; \omega) \right\} dr_e \quad (16)
\end{aligned}$$

for $r'_e \in \Omega_L$. Using the Green's formula (11) for the exterior domain Ω_L twice for functions u satisfying the same bound-

ary conditions as G^r , i.e., $u(r_e) = 0$, when $r_e \in \partial\Omega_{P1/P2}$ and $\lim_{x \rightarrow -\infty} |u| = \lim_{x \rightarrow -\infty} |\nabla u| = 0$, we can write

$$\begin{aligned}
& \int_{\Omega_L} u(r_e) \frac{1}{2} \nabla^2 g_e(r_e, r'_e; \omega) dr_e \\
&= - \int_{\Omega_L} \frac{1}{2} \nabla u(r_e) \cdot \nabla g_e(r_e, r'_e; \omega) dr_e \\
&+ \int_{\partial\Omega_L} \frac{1}{2} u(r'_L) \frac{\partial g_e(r'_L, r'_e; \omega)}{\partial n'_L} dr'_L \\
&= \int_{\Omega_L} \frac{1}{2} g_e(r_e, r'_e; \omega) \nabla^2 u(r_e) dr_e \\
&+ \int_{\partial\Omega_L} \frac{1}{2} u(r'_L) \frac{\partial g_e(r'_L, r'_e; \omega)}{\partial n'_L} dr'_L \\
&- \int_{\partial\Omega_L} \frac{1}{2} \frac{\partial u(r'_L)}{\partial n'_L} g_e(r'_L, r'_e; \omega) dr'_L, \quad (17)
\end{aligned}$$

so that

$$\begin{aligned}
u(r'_e) &= \int_{\Omega_L} g_e(r_e, r'_e; \omega) [\omega - \hat{H}(r_e)] u(r_e) dr_e \\
&+ \int_{\partial\Omega_L} \frac{1}{2} u(r'_L) \frac{\partial g_e(r'_L, r'_e; \omega)}{\partial n'_L} dr'_L \\
&- \int_{\partial\Omega_L} \frac{1}{2} \frac{\partial u(r'_L)}{\partial n'_L} g_e(r'_L, r'_e; \omega) dr'_L. \quad (18)
\end{aligned}$$

Taking $u = G^r$ we have that $[\omega - \hat{H}(r_e)] G^r(r_e, r'; \omega) = 0$ for $r_e \in \Omega_L$ and $r' \in \Omega$. Since in addition $g_e = 0$ on $\partial\Omega_L$ we have by Eq. (18),

$$\begin{aligned}
G^r(r'_e, r'; \omega) &= \int_{\partial\Omega_L} \frac{1}{2} G^r(r'_L, r'; \omega) \frac{\partial g_e(r'_L, r'_e; \omega)}{\partial n'_L} dr'_L, \\
& \quad r'_e \in \Omega_L. \quad (19)
\end{aligned}$$

Now the representation formula (19) can be used to supply the boundary condition to Eq. (14) (see Ref. 25).

Differentiating Eq. (19) with respect to r'_e and letting $r'_e \rightarrow r_L \in \partial\Omega_L$ we obtain the term corresponding to the left boundary $\partial\Omega_L$ in Eq. (14) as

$$\begin{aligned}
& \int_{\partial\Omega_L} \frac{1}{2} \frac{\partial G^r(r_L, r'; \omega)}{\partial n_L} v(r_L) dr_L \\
&= \int_{\partial\Omega_L} \int_{\partial\Omega_L} \frac{1}{4} G^r(r'_L, r'; \omega) \frac{\partial^2 g_e(r'_L, r_L; \omega)}{\partial n_L \partial n'_L} v(r_L) dr'_L dr_L \\
&= \langle \hat{\Sigma}_L G^r, v \rangle. \quad (20)
\end{aligned}$$

It is possible to make the similar derivation for the right lead to obtain $\langle \hat{\Sigma}_R G^r, v \rangle$. The terms $\langle \hat{\Sigma}_L G^r, v \rangle$ and $\langle \hat{\Sigma}_R G^r, v \rangle$ which set the boundary conditions are the ones which make

difference between \hat{H} and the isolated Hamiltonian \hat{H}_0 . When we compare Eqs. (5) and (14) we see that we have derived here the variational form for the self-energy operator $\hat{\Sigma}_L$. It includes line integrals over the boundary $\partial\Omega_L$ together with a trace mapping from functions on Ω to the functions on $\partial\Omega_L$. The function Σ_L^r in Eq. (6) is given by

$$\Sigma_L^r(r_L, r'_L) = \frac{1}{4} \frac{\partial^2 g_e(r'_L, r_L; \omega)}{\partial n_L \partial n'_L}, \quad (21)$$

with zero extension outside the boundary $\partial\Omega_L$.

The mapping generated above by Eq. (20) is called the Dirichlet-to-Neumann mapping since in general it maps the Dirichlet datum u of a solution to a partial differential equation to the corresponding Neumann datum $\partial u / \partial n$.

B. Exterior Green's function

The exterior Green's function for the semi-infinite leads can be calculated numerically as the surface Green's function of a periodic system.¹³ In the present work the potential is uniform in the leads along the lead axis. Therefore we can solve for the isolated Green's function using the analytic one-dimensional solution along the lead and the numerical transverse wave functions $\chi_m(y)$.¹ The ensuing exterior Green's function for the quasi-two-dimensional semi-infinite wire is

$$g_e = \sum_{m=1}^{\infty} \frac{-i\chi_m(y)\chi_m^*(y')}{k_m} (e^{ik_m(x-x')} - e^{ik_m(x+x')}), \quad (22)$$

where $\chi_m(y)$'s are solutions to the Kohn-Sham equation

$$\left(-\frac{1}{2}\nabla^2 - V_{eff}(y) \right) \chi_m(y) = \epsilon_m \chi_m(y), \quad (23)$$

with

$$k_m = \sqrt{2(\omega - \epsilon_m)}. \quad (24)$$

We solve Eq. (23) using self-consistency iterations for the electron density and the potential profile $V_{eff}(y)$. As explained before we use a model in which the positive charge forms a thin wire and the electron wave functions spread out of this charge. The effective potential V_{eff} consists only of V_{xc} and V_c , and no external potential is applied. In practice the summation in Eq. (22) is truncated typically after a few tens of states so that the results are well converged.

The charge densities resulting from this calculation are used in the boundary conditions when calculating the Coulomb potential of the nanosystem. The total charge per unit length is zero in an infinite wire, but there are local variations in the charge density in the transverse direction. As an example, we show in Fig. 5 the effective potential and the positive and negative charge densities in a case with two transversal modes in the wire. A cut perpendicular to the wire axis is shown.

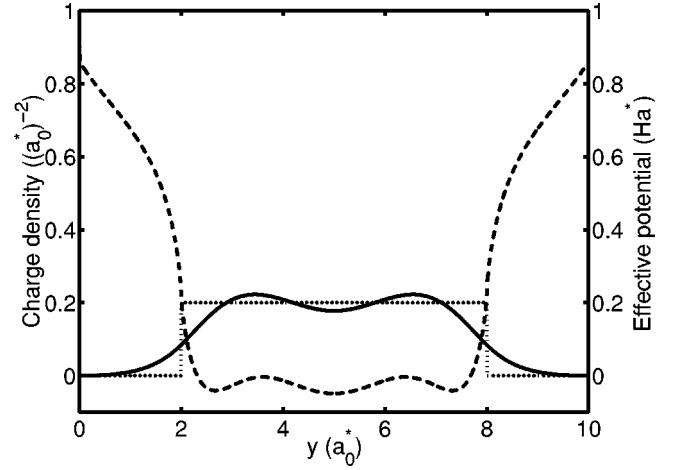


FIG. 5. Electron density (solid line), positive background charge (dotted line), and V_{eff} (dashed line) for an infinite uniform wire.

C. Finite-element discretization

To obtain a numerical approximation for the Green's function G^r in the computational domain Ω we select a finite-dimensional space S_h defined on Ω and project our problem of Eq. (14) into S_h by solving for $G_h^r \in S_h$ such that

$$\begin{aligned} \int_{\Omega} \left\{ -\frac{1}{2} \nabla G_h^r(r, r'; \omega) \cdot \nabla v_h(r) \right. \\ \left. + [\omega - V_{eff}(r)] G_h^r(r, r'; \omega) v_h(r) \right\} dr \\ + \langle \hat{\Sigma}_L G_h^r, v_h \rangle + \langle \hat{\Sigma}_R G_h^r, v_h \rangle = v_h(r') \end{aligned} \quad (25)$$

for every $v_h \in S_h$.²⁶ A matrix equation is obtained by selecting a basis $\{\phi_{ij}\}_{i,j=1}^N$ for S_h and expanding G_h^r in the basis,

$$G_h^r(r, r') = \sum_{i,j=1}^N g_{ij}^r \phi_i(r) \phi_j(r'). \quad (26)$$

Selecting $v_h = \phi_k$ in Eq. (25) we obtain

$$\begin{aligned} \sum_{i,j=1}^N g_{ij}^r \phi_j(r') \left\{ \int_{\Omega} \left[-\frac{1}{2} \nabla \phi_i(r) \cdot \nabla \phi_k(r) \right. \right. \\ \left. \left. + [\omega - V_{eff}(r)] \phi_i(r) \phi_k(r) \right] dr + \langle \hat{\Sigma}_L \phi_i, \phi_k \rangle \right. \\ \left. + \langle \hat{\Sigma}_R \phi_i, \phi_k \rangle \right\} = \phi_k(r'). \end{aligned} \quad (27)$$

Denoting

$$\begin{aligned}
a_{ik} = & \int_{\Omega} \left(-\frac{1}{2} \nabla \phi_i(r) \cdot \nabla \phi_k(r) \right. \\
& + [\omega - V_{eff}(r)] \phi_i(r) \phi_k(r) \Big) dr \\
& + \langle \hat{\Sigma}_L \phi_i, \phi_k \rangle + \langle \hat{\Sigma}_R \phi_i, \phi_k \rangle,
\end{aligned} \quad (28)$$

and

$$m_{kl} = \int_{\Omega} \phi_k(r') \phi_l(r') dr', \quad (29)$$

we have that

$$\sum_{i,j=1}^N g_{ij}^r m_{jl} a_{ik} = m_{kl}. \quad (30)$$

Exploiting the symmetry of the coefficients a_{ij} we see that g_{ij} 's are the entries in the inverse of the matrix given by Eq. (28).

We connect $\Sigma_{L/R}$ to the discretized forms as

$$\Sigma_{L/R,i,j} = \langle \hat{\Sigma}_{L/R} \phi_i, \phi_j \rangle. \quad (31)$$

Further, let us denote

$$G_h^a = \sum_{k,l} g_{kl}^a \phi_k(r) \phi_l(r') \quad (32)$$

and

$$\hat{\Gamma}_{L/R} = 2 \text{ Im}(\hat{\Sigma}_{L/R}^r), \quad (33)$$

with

$$\Gamma_{L/R,i,j} = \langle \hat{\Gamma}_{L/R} \phi_i, \phi_j \rangle = \Gamma_{L/R,j,i}, \quad (34)$$

since $\hat{\Gamma}_{L/R}$ is symmetric. Now, for example, the electron-tunneling probability of Eq. (9) can be written in the discretized form as

$$\begin{aligned}
T(\omega) = & \sum_{i,j,k,l=1}^N \int_{\partial\Omega_L} \int_{\partial\Omega_L} \int_{\partial\Omega_R} \int_{\partial\Omega_R} \Gamma_L(r_L, r'_L) g_{ij}^r \phi_i(r'_L) \phi_j \\
& \times (r_R) \Gamma_R(r_R, r'_R) g_{kl}^a \phi_k(r'_R) \phi_l(r_L) dr_L dr'_L dr_R dr'_R, \\
= & \sum_{i,j,k,l=1}^N \langle \hat{\Gamma}_L \phi_i, \phi_l \rangle g_{ij}^r \langle \hat{\Gamma}_R \phi_k, \phi_j \rangle g_{kl}^a \\
= & \sum_{i,j,k,l=1}^N \Gamma_{L,li} g_{ij}^r \Gamma_{R,jk} g_{kl}^a.
\end{aligned} \quad (35)$$

D. Finite-element basis

So far we have not touched the subject of selecting the basis functions ϕ_i in Sec. III B above and thus the space S_h . In principle, we could select any computable set $\{\phi_i\}_{i=1}^N$, but adhere to a traditional choice in the finite-element practice, namely, to the set of piecewise polynomial functions. The basis functions are constructed as follows. Assume that

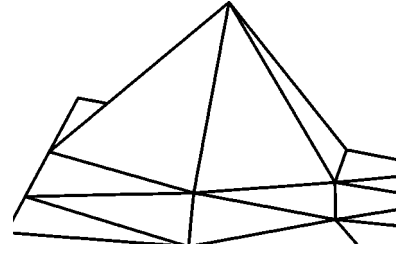


FIG. 6. A linear basis function ϕ . The function is one in a given mesh node and descends linearly to zero in the adjacent nodes.

Ω is partitioned into a simple mesh of N nodes and M polygons T_i conforming to the usual requirements imposed on a finite-element mesh. These polygons can have a variety of shapes but the simplest choice of triangles in two (and tetrahedral in three) dimensions will serve our purposes. We choose the basis functions ϕ_i to be element-wise linear functions that have the value 1 in a single node of the mesh and 0 in other nodes (see Fig. 6). The corresponding finite-element space S_h is

$$S_h = \left\{ v_h = \sum_{i=1}^N c_i \phi_i \mid c_i \in \mathbb{C} \right\} = \{ v_h \in C(\Omega) \mid v_h|_{T_i} \in \mathcal{P}_1(T_i) \}, \quad (36)$$

where $C(\Omega)$ denotes the set of continuous functions in Ω and $\mathcal{P}_1(T_i)$ is the set of polynomials of degree one in the polygon T_i .

An element-wise polynomial basis has several advantages. First, polynomials are fast to evaluate and they can be integrated exactly on a suitable reference element. Second, the piecewise nature allows the use of a local basis ensuring that the matrix $(a_{ij})_{i,j=1}^N$ is very sparse. Third, the accuracy of the discretization can be controlled via mesh refinements and coarsening.

The local nature of the basis functions gives rise to a sparse matrix. Due to recent developments in linear algebra there are fast direct solvers²⁷ (also parallel)^{28,29} for sparse systems arising from discretization of partial differential equations. Since we must solve for all the coefficients g_{ij} of the approximate Green's function g_h we are faced with the problem of solving N linear systems with different right-hand sides. This kind of setting is favorable to direct methods over iterative ones. Nevertheless, the computation itself is a time-consuming procedure and cannot be substantially accelerated with the techniques known today.

E. Mesh generation

An important property affecting the quality of the finite-element approximation is the underlying mesh and especially the shape and the size of individual elements. Several techniques for mesh generation in two and three dimensions are available. All the techniques have in common that they try to produce meshes with elements of desired local size and high quality. There are also several indicators for evaluating the quality of the shape of a single element. Perhaps the most common is to require that there are no large angles in the

element. Typically, the larger the maximal angle of an element is, the worse the resulting approximation will be.

In this work we use Delaunay meshes³⁰ for triangular elements in two-dimensional problems. They are known to be very robust in producing high-quality triangular meshes for different shapes of domains. A Delaunay mesh can be characterized as follows. A mesh consisting of N nodes and M triangular (or tetrahedral) elements satisfies the Delaunay criterion if the circumscribe C_j of a triangle (or tetrahedron) T_j of the mesh contains no nodes of the mesh. Meshes satisfying the Delaunay criterion are called Delaunay meshes.

It can be shown that for a given set of points in a plane a Delaunay triangulation always exists and is even unique with a minor assumption on the placement of the nodes. Furthermore, among all triangulations of the nodes, the Delaunay triangulation maximizes the minimum angle present in the triangulation. The max-min property can be usually considered as a guarantee of high-quality elements.

Unfortunately the Delaunay criterion is not sufficient for a high-quality tetrahedral mesh in three dimensions. This is due to the presence of “slivers” in Delaunay meshes. These elements can have very large angles deteriorating the approximation capabilities, and yet they satisfy the Delaunay property. Therefore alternative techniques must be sought for when producing meshes in three dimensions. Typical approaches use a mixture of different methods, e.g., octree methods, advancing front methods, and Delaunay methods.

However, it should be noted that the quality of the resulting mesh produced by a mesh generation algorithm depends heavily on the shape of the domain to be meshed. Very simple domains such as cubes and other rectangular domains are usually well treated by virtually any method, whereas more complicated domains having holes and cuts need more attention.

F. Coulomb interactions

The effective potential is also calculated using the FEM and the same mesh as for the Green's functions is used. V_{xc} is simply evaluated in every node point. The potential charge densities are two dimensional but the Coulomb is treated in three dimensions. In this case it is not efficient to solve for the three-dimensional Poisson equation, but to evaluate the integral

$$V_c(r) = \int \frac{\rho(r') - \rho_p(r')}{|r - r'|} dr'. \quad (37)$$

Above, ρ is the electron density and ρ_p is the positive background charge density. In this work we have linear basis functions, so that we can calculate Eq. (37), r' being separate at each node points, and extrapolate the result to the other points. The extrapolation essentially gives the same solution as the L_2 projection of Eq. (37).

The integral is evaluated by integrating basis functions in every element. For elements with no pole (r is not inside the element), the integral is evaluated using the Gaussian quadrature rules for triangles.³¹ Elements which have r in one corner are evaluated by making a mapping from the

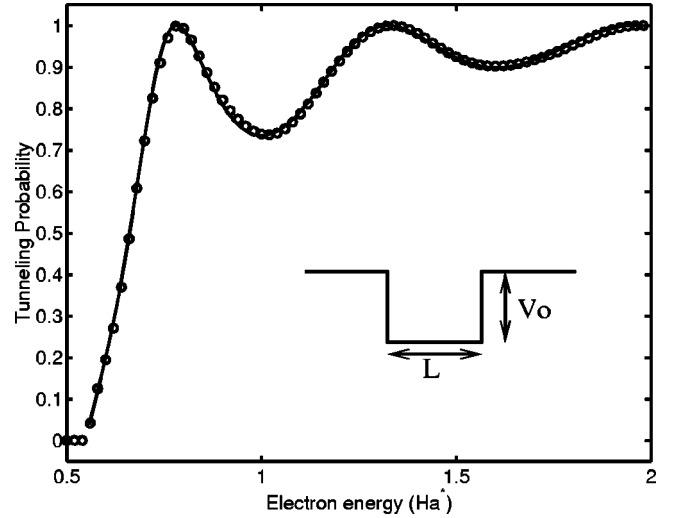


FIG. 7. Transmission probability over a potential well. The solid line corresponds to the analytic solution of Eq. (38) and the circles are calculated using the FEM code. In this calculation $L = 10a_0^*$, $V_0 = 1 \text{ Ha}^*$, the width of the wire $W = 3a_0^*$, and the average distance between the FEM mesh nodes $h = 0.3a_0^*$.

triangle to a square in which the pole disappears.³² If the pole is inside an element (in the L_2 projection it is) the same mapping works again. In this case the element is divided into three smaller ones, with r' being an interior node.

IV. TEST SYSTEMS

This section is devoted for testing and demonstrating our scheme. First the transmission probability over a given potential well and through a given bottleneck potential are determined. The aim of these non-self-consistent calculations is to provide, through the comparison with the exact results, an idea of the numerical accuracy of our methods. Thereafter we demonstrate the possibilities of the scheme by solving self-consistently the electronic structure and the current under a bias voltage for different resonant tunneling systems.

A. Transmission probability over a potential well

Basic quantum mechanics gives the transmission probability over a potential well (see the inset in Fig. 7) as

$$T(\omega) = 2 \left[1 + \frac{V_0^2 \sin^2[\sqrt{2(\omega + V_0)}L]}{4\omega(\omega + V_0)} \right]^{-1}, \quad (38)$$

where V_0 and L are the depth and the length of the well, respectively, and ω is the electron energy. Our numerical approach obeys this result accurately. For example, Fig. 7 gives the transmission probability calculated using Eqs. (9) and (35) for a narrow wire with a potential well. For the energies shown there is only one transverse mode in the wire. The good agreement between the numerical and analytic results indicates that the FEM mesh is fine enough.

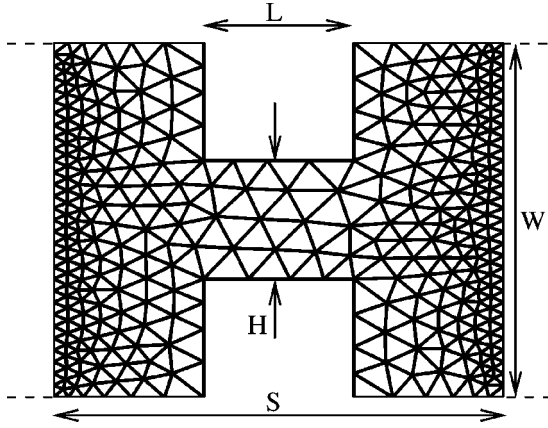


FIG. 8. Bottleneck model potential. The potential is constant inside the leads and in the bottleneck between the leads. At the boundaries the potential rises to infinity. The dimensions are $L = H = 10a_0^*$ and $W = 30a_0^*$. The length of the calculation area $S = 30a_0^*$. The FEM mesh shown has smaller elements near the boundaries $\partial\Omega_{L/R}$.

B. Transmission probability through a bottleneck potential

Next we study how the FEM node density affects the results. We calculate the electron transmission probability as a function of energy using different FEM meshes. Our scattering potential is a bottleneck shown in Fig. 8. The electron transmission probability is shown in Fig. 9 as a function of the energy. Stepwise jumps in the transmission probability mean that new transverse modes emerge with increasing energy ω . The narrow peaks near the beginning of each step correspond to the constructive interference of the incident wave with the wave reflected twice at the lead-bottleneck boundaries.³³ Increasing the energy means making the electron wavelength shorter so that more points are needed to describe the wave functions. Thus, with a fixed element size h it is possible to characterize transversal modes up to a certain energy only. Thereafter the transmission probability collapses due to the loss of numerical stability.

In Fig. 9(a) the size of the elements in each calculation is the same throughout the whole calculation area. According to the two uppermost curves corresponding to the FEM node distances $h = 1a_0^*$ and $h = 2a_0^*$, we need about four nodes between the adjacent zero-value lines of the electron wave function. This means that the FEM node distance of $h = 3a_0^*$ should give a reasonable result for the first transversal mode. In contrast, the results show large oscillations of the transmission due to discretization errors. The reason for this is that the pole of the real part of the Green's function is not approximated accurately enough. When determining the transmission the arguments of the Green's function are on the opposite boundaries [Eq. (9)]. These Green's-function values are calculated by solving a linear equation problem in which one of the arguments of $G^r(r, r')$ is fixed, e.g., on the left boundary $\partial\Omega_L$ and the other argument runs over the central region to the right boundary $\partial\Omega_R$. If the FEM mesh is not dense enough near the left boundary where the pole is

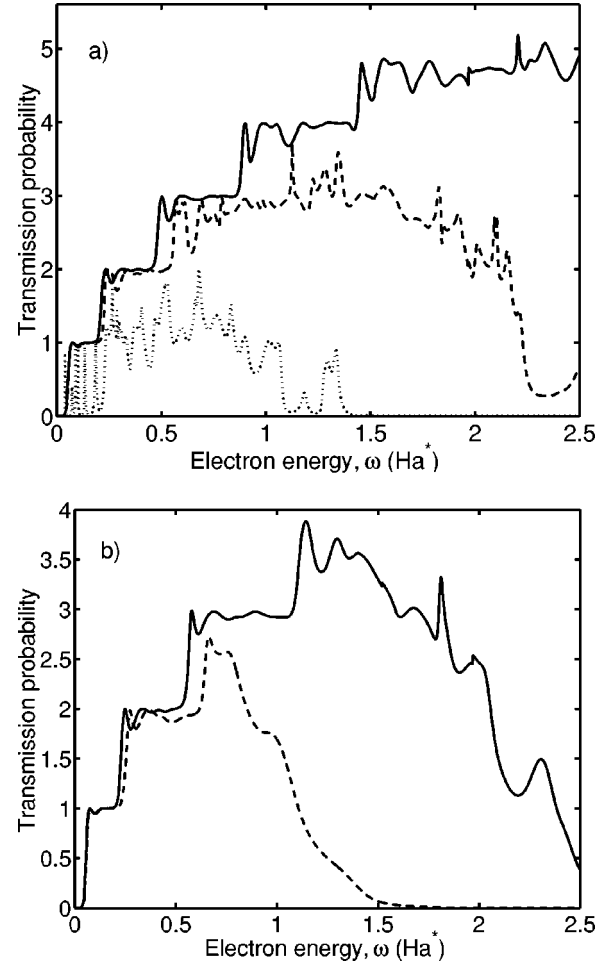


FIG. 9. Electron transmission probability as a function of the energy for different FEM meshes. (a) All the elements in each calculation are of the same size. The FEM node distance $h = 1a_0^*$ (solid line), $h = 2a_0^*$ (dashed line), and $h = 3a_0^*$ (dotted line). (b) The elements are smaller near the boundaries $\partial\Omega_{L/R}$ (see Fig. 8). The minimum distance $h_{min} = 1a_0^*$ and the maximum distance $h_{max} = 2a_0^*$ (solid line) and $h_{max} = 3a_0^*$ (dashed line).

a large numerical error propagating to the elements needed in Eq. (9).³⁴ In Fig. 9(b) the number of points at the boundaries $\partial\Omega_{L/R}$ is larger than inside the calculation area Ω . The figure shows that the effects of the discretization errors are now strongly reduced at low energies, but the transmission probability at high energies collapses as fast as in Fig. 9. In conclusion, when one wants to describe the transmission probability only up to a certain energy value, the optimum way to choose the sizes of the elements is to use smaller elements near the boundaries $\partial\Omega_{L/R}$ than inside the area Ω . In this simple test system the bottleneck potential is relatively wide, but if the bottleneck is narrow in comparison with the rest of the wire, it is reasonable to refine the mesh also in the neck region. Finally, the above refinement is also needed when calculating the electron density in nonequilibrium using Eq. (7). The real part of $G^r(r, r')$ is needed between a point on the boundary $\partial\Omega_{L,R}$, and an arbitrary point in the central region Ω .

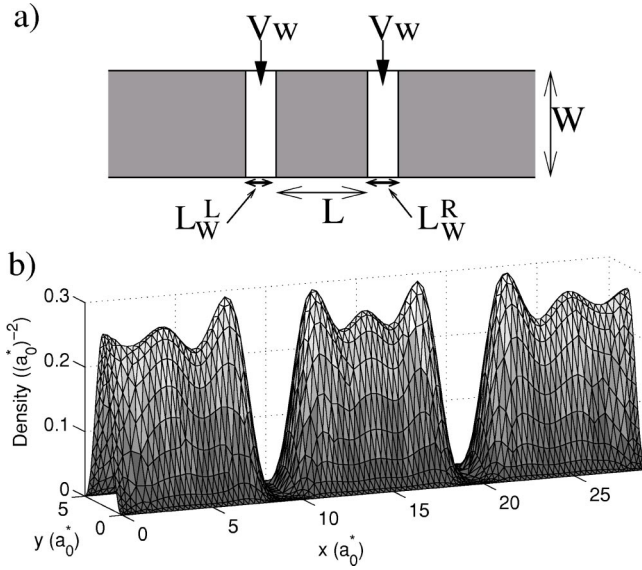


FIG. 10. Double-barrier potential system. (a) The model. The gray areas correspond to the positive background charge. At the gaps there is an additional potential $V_w = 2 \text{ Ha}^*$. The size of calculation area Ω is $29 \times 5 (a_0^*)^2$, the width of the background charge $W = 3a_0^*$, and length of the quantum dot $L = 9a_0^*$. Case A has $L_W^{L/R} = 1a_0^*$ and case B $L_W^{L/R} = 1.25a_0^*$. The number of FEM nodes used in the calculations is 2105. (b) The total electron density at zero-bias voltage for case A.

C. Resonant tunneling through double-barrier potential systems

1. Symmetric barrier system

In this section we demonstrate the potential of our scheme by showing results of self-consistent electronic-structure calculations for 2D nanostructures under a finite bias voltage. We restrict ourselves to zero-temperature calculations. The test system is a double-barrier potential structure, a schematic sketch of which is shown in Fig. 10(a). A jellium wire is cut by two vacuum regions and additional potential barriers are introduced within them in order to adjust the potential and the transmission. We consider two special cases. Case A has thinner potential walls $L_W^{R/L} = 1a_0^*$ than case B for which $L_W^{R/L} = 1.25a_0^*$. This difference means that the connection to the leads differs remarkably in its strength. We make contact with real semiconductor systems by converting our results from the effective atomic units to the SI units using the effective mass of electrons $m^* = 0.067$ and the dielectric constant $\epsilon = 12.4$ for GaAs. Then $a_0^* = 9.779 \text{ nm}$ and $1 \text{ Ha}^* = 11.8672 \text{ meV}$. The positive background charge density $0.2(a_0^*)^{-2} \approx 2 \times 10^{15} \text{ m}^{-2}$ corresponds to a reasonable electron density at the GaAs/AlGaAs interface. The ground-state electron density of the double-barrier system is shown in Fig. 10(b), exhibiting Friedel oscillations in both leads. The wires are so thin that only one transverse mode is occupied.

The effective potential along the symmetry axis of the double-barrier system at zero-bias voltage is shown in Fig. 11(a). The potential barriers are so small that the quantum dot is strongly connected to the leads. When we add the bias voltage to the system, the potential of right lead increases

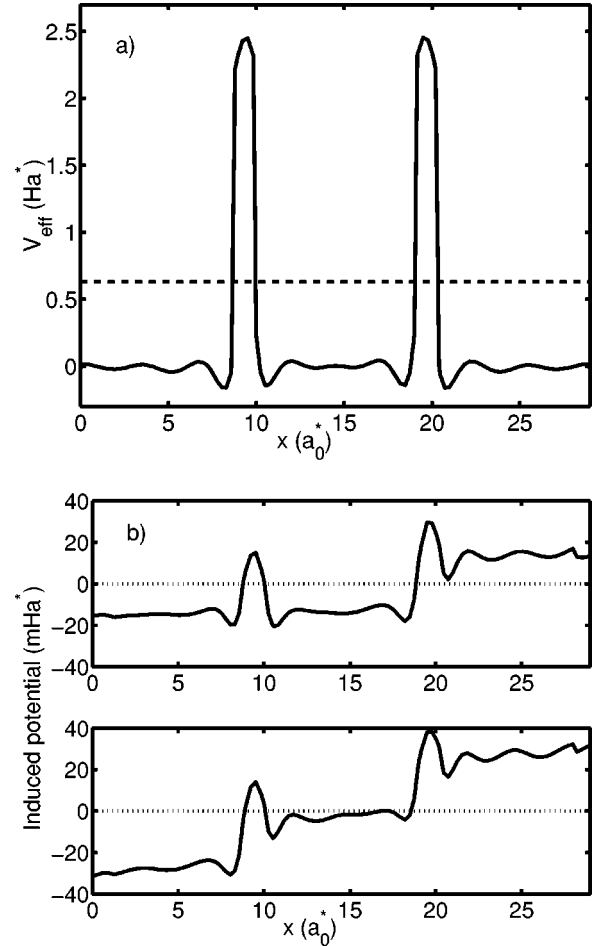


FIG. 11. Double-barrier potential system B. (a) The zero-bias voltage effective potential along the symmetry axis. The energy zero corresponds to the bottom of energy band in an infinite 2D system with the electron density of $0.2(a_0^*)^2$. The Fermi level is shown by the dashed line. (b) The change of V_{eff} due to bias voltage. In the upper panel $\Delta V_{bias} = 0.03 \text{ Ha}^*$ (0.36 meV) and lower panel $\Delta V_{bias} = 0.06 \text{ Ha}^*$ (0.71 meV).

and that of the left lead decreases. The change of V_{eff} for case B is shown in Fig. 11(b). The maximum bias voltage applied is small in comparison to the barrier heights. The potential drop occurs between the potential walls, not in the leads. This is expected because the leads are ballistic, with no scatterers at all. At small ΔV_{bias} values the potential in the quantum dot stays at the level of the potential in the left lead. This is seen in the upper panel of Fig. 11(b). When ΔV_{bias} is large enough the potential in the dot rises close to the mean value in the leads (see the lower panel). A nearly inversion-symmetric potential develops. In case A the potential in the quantum dot develops differently. It follows mainly the potential level of the right lead for all bias voltages studied.

The behavior of the potential level in the quantum dot is connected to the occupation of the dot resonance state and its position relative to the lead Fermi levels. Figure 12 shows the local density of states (LDOS) calculated by integrating over the quantum dot area. For the zero-bias voltage, both cases, A and B, have a resonance peak below the Fermi level.

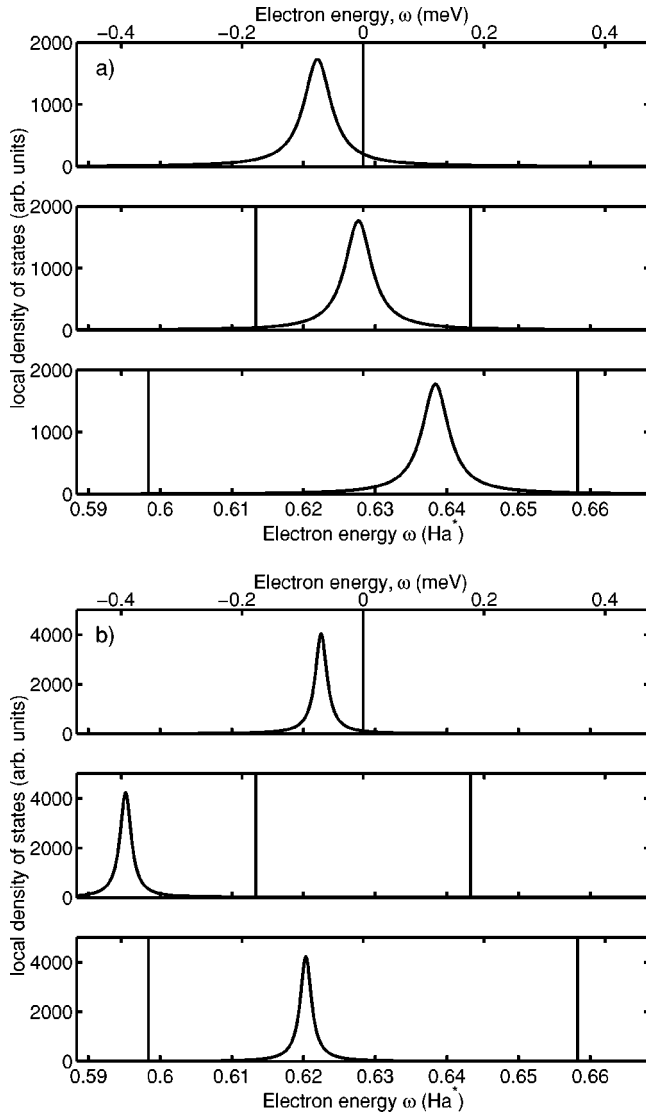


FIG. 12. LDOS in the region between the barriers shown in Fig. 10. (a) LDOS for case A with narrow barriers. (b) LDOS for the case B with wide barriers. The vertical lines denote the Fermi level position in the leads. Both in (a) and (b) the uppermost panels correspond to the zero-bias calculation, the middle panels to $\Delta V_{bias} = 0.03 \text{ Ha}^*$, whereas the lowest panels correspond to $\Delta V_{bias} = 0.06 \text{ Ha}^*$.

When the bias ΔV_{bias} is applied the potentials and the Fermi levels are shifted by $+\frac{1}{2}\Delta V_{bias}$ and $-\frac{1}{2}\Delta V_{bias}$ in the left and right leads, respectively. This defines the so-called bias window on the energy axis. At small ΔV_{bias} the value of the resonance peak to case B moves down in energy. The resonance, which gives a large contribution to the charge in the dot, is below the left Fermi level. The bias-induced charge redistribution takes place near the left barrier. Thus the potential in quantum dot stays at the level of the left lead. However, when ΔV_{bias} is large enough the resonance peak enters the bias window, the charge redistribution occurs quite symmetrically at both barriers and the potential level in the quantum dot is in the middle between the left and right lead levels. The resonance peak of case A is wider than that of

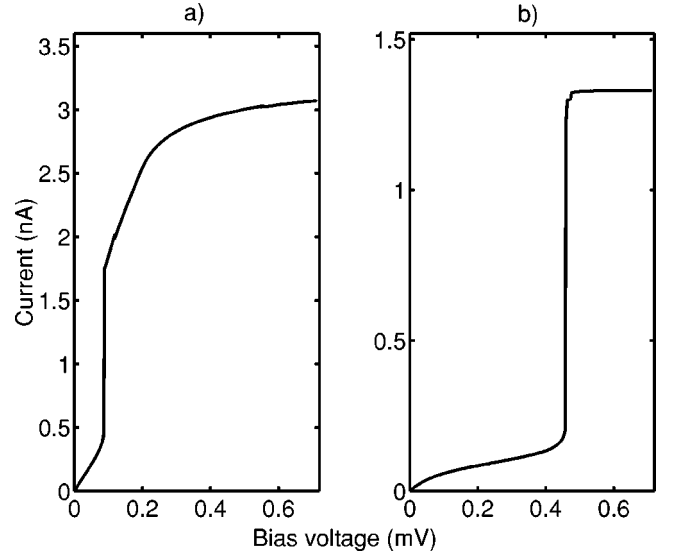


FIG. 13. Current as a function of the bias voltage for the double-barrier potential systems shown Fig. 10. (a) Case A with the barrier width of $1a_0^*$. (b) Case B with the barrier width of $1.25a_0^*$. The zero-bias conductivities of case A and B are $0.060G_0$ and $0.014G_0$, respectively.

case B because the connection to the leads is stronger. The wide resonance enters the bias window at a low bias value and its position follows the Fermi level of the right lead. Then the bias-induced charge redistribution takes place at the left barrier and the potential level in the dot follows that in the right lead. The asymmetric behavior of the voltage drop in our model systems has analogies with the case of atomic chains between two electrodes.³⁵

The position of the resonance peak relative to the Fermi levels has a large effect on the electron transmission probability through the double-barrier potential system. The current flow is due to the states with energies between right and left Fermi levels, i.e., in the bias window. When the resonance peak moves into this region there is a steep increase in the current. Thereafter the current stays approximately constant as a function of the bias voltage. This characteristic behavior of the double-barrier potential is visible in Fig. 13. Case B with the sharper resonance peak has a steeper raise of the current than case A. Moreover, the raise occurs at a higher bias voltage in case B than in case A.

2. Asymmetric barriers

So far both the potential barriers in the system of Fig. 10(a) have been identical. Inspired by the prospect to use nonsymmetric molecules as rectifiers^{36,37} we have studied also double-barrier systems with nonidentical barriers. The zero-bias conductivities of the cases A and B (see Fig. 13 and its caption) are $0.060G_0$ and $0.014G_0$. These are of the same order in magnitude as conductivities calculated for molecules between electrodes.³⁷ In the next example we have reduced the height of the second barrier in case A by a factor of 2 in order to create an asymmetric system.

The ensuing current-voltage curve is shown in Fig. 14. The curve is asymmetric with respect to the direction of the

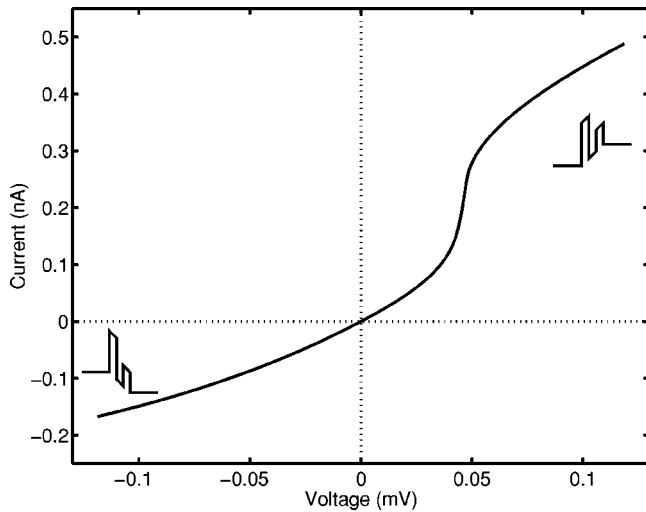


FIG. 14. Current-voltage curve for a double-barrier potential system with asymmetric barriers.

applied bias. The double-barrier system shows a clear rectification effect resembling that for asymmetric molecular wires.³⁷ The reason for the rectification effect is seen in the LDOS in the quantum dot given in Fig. 15. When the bias over the system is zero a resonance peak is below the Fermi level as it was in the previous cases A and B. For positive bias voltages (the potential is higher in the lower-barrier side) the resonance peak moves up in energy and the resonance is emptying of electrons. This causes the increase in the conductivity. In the case of negative bias voltages (the potential is higher in the higher-barrier side) the resonance peak follows the Fermi energy of the lower-potential lead. The situation is similar to that of system B above at low bias. The resonance does not enter the bias window as fast as in the case of the positive voltage and the current increases slowly.

V. CONCLUSIONS

We have developed a computational scheme to model two-dimensional nanostructures connected to two semi-infinite leads. The electron density and the current are calculated self-consistently using the nonequilibrium Green's-function approach. The single-particle electron states are handled within the density-functional theory.

We have formulated the problem using the finite-element approximation. In this approximation the boundary conditions are easy to derive and implement. We have shown the derivation of the Dirichlet-to-Neumann boundary conditions

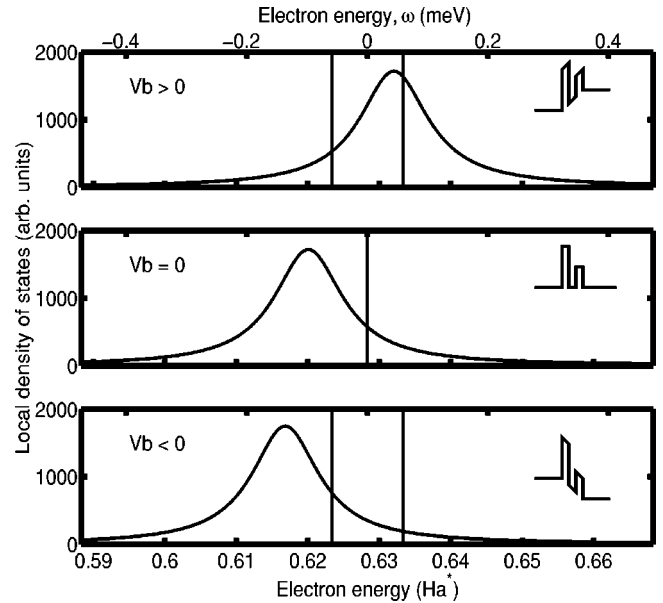


FIG. 15. LDOS for the double-barrier potential system with asymmetric potential barriers. The LDOS corresponds to the quantum dot region between the barriers.

and the discretized forms of physical quantities such as the tunneling probability.

Tests with model potential systems show the numerical accuracy and its dependence on the finite-element mesh chosen. Especially, we show that for efficient accurate calculation it is important to refine the mesh near the boundaries between central region and the boundaries. Self-consistent calculations for resonant tunneling structures demonstrate the efficiency of the scheme.

We have treated systems with up to 10 000 degrees of freedom. Three-dimensional atomistic systems described by the pseudopotentials would need roughly one order of magnitude more degrees of freedom which is with in present-day computational capabilities. The present two-dimensional work is an important step in the development towards three-dimensional atomistic modeling of nonequilibrium transport in nanoscale devices.

ACKNOWLEDGMENTS

We thank Dr. Per Hyldgaard and Dr. Harri Hakula for many enlightening discussions. We acknowledge the generous computer resources from the Center for Scientific Computing, Espoo, Finland. This research was supported by the Academy of Finland through its Centers of Excellence Program (2000–2005).

¹S. Datta, *Electronic Transport in Mesoscopic Systems* (Cambridge University Press, Cambridge, 1995).

²W.M.C. Foulkes, L. Mitas, R.J. Needs, and G. Rajagopal, *Rev. Mod. Phys.* **73**, 33 (2001).

³S.M. Reimann and M. Manninen, *Rev. Mod. Phys.* **74**, 1283 (2002).

⁴N.D. Lang, *Phys. Rev. B* **52**, 5335 (1995).

⁵Y. Xue, S. Datta, and M.A. Ratner, *Chem. Phys.* **281**, 151 (2002).

⁶For a review, see T. Beck, *Rev. Mod. Phys.* **72**, 1041 (2000).

⁷For a review, see T.A. Arias, *Rev. Mod. Phys.* **71**, 267 (1999).

⁸See, for example, G. Kresse and J. Furthmüller, *Phys. Rev. B* **54**, 11 169 (1996).

- ⁹J. Taylor, H. Guo, and J. Wang, Phys. Rev. B **63**, 245407 (2001).
- ¹⁰M. Brandbyge, J. Mozos, P. Ordejoń, J.L. Taylor, and K. Stokbro, Phys. Rev. B **65**, 165401 (2002).
- ¹¹M.B. Nardelli, J.-L. Fattebert, and J. Bernholc, Phys. Rev. B **64**, 245423 (2001).
- ¹²P.S. Damle, A.W. Ghosh, and S. Datta, Phys. Rev. B **64**, 201403(R) (2001).
- ¹³K.S. Thygesen, M.V. Bollinger, and K.W. Jacobsen, Phys. Rev. B **67**, 115404 (2003).
- ¹⁴J.E. Pask, B.M. Klein, P.A. Sterne, and C.Y. Fong, Comput. Phys. Commun. **135**, 1 (2001).
- ¹⁵J.E. Pask, B.M. Klein, C.Y. Fong, and P.A. Sterne, Phys. Rev. B **59**, 12352 (1999).
- ¹⁶E. Tsuchida and M. Tsukada, Phys. Rev. B **54**, 7602 (1996).
- ¹⁷E. Tsuchida and M. Tsukada, Phys. Rev. B **52**, 5573 (1995).
- ¹⁸S.R. White, J.W. Wilkins, and M.P. Teter, Phys. Rev. B **39**, 5819 (1989).
- ¹⁹S. C. Brenner and L. R. Scott, *The Mathematical Theory of Finite Element Methods*, 2nd ed. (Springer, Berlin, 2002).
- ²⁰K. Hirose, F. Zhou, and N.S. Wingreen, Phys. Rev. B **63**, 075301 (2001).
- ²¹C. Attacalite, S. Moroni, P. Gori-Giorgi, and G.B. Bachelet, Phys. Rev. Lett. **88**, 256601 (2002).
- ²²P. Gori-Giorgi, C. Attacalite, S. Moroni, and G.B. Bachelet, Int. J. Quantum Chem. **91**, 126 (2003).
- ²³The Harwell Subroutine Library, see <http://www.cse.clrc.ac.uk/nag/hsl/>
- ²⁴J.L. Lions and E. Magenes, *Non-Homogeneous Boundary Value Problems and Applications* (Springer-Verlag, Berlin, 1972).
- ²⁵D. Colton and R. Kress, *Integral Equation Methods in Scattering Theory* (Wiley, New York, 1983).
- ²⁶D. Braess, *Finite Elements* (Cambridge University Press, Cambridge, 2001).
- ²⁷J.W.H. Liu, SIAM Rev. **34**, 82 (1992).
- ²⁸P.R. Amestoy, I.S. Duff, and J.-Y. L'Excellent, Comput. Methods Appl. Mech. Eng. **184**, 501 (2000).
- ²⁹A. Gupta, ACM Trans. Math. Softw. **28**, 301 (2002).
- ³⁰M. Bern and D. Eppstein, *Computing in Euclidean Geometry* (World Scientific, River Edge, NJ, 1992), pp. 23–90.
- ³¹R. Cools and P. Rabinowitz, J. Comput. Appl. Math. **48**, 309 (1993).
- ³²M.G. Duffy, SIAM (Soc. Ind. Appl. Math.) J. Numer. Anal. **19**, 1260 (1982).
- ³³A. Szafer and A.D. Stone, Phys. Rev. Lett. **62**, 300 (1989).
- ³⁴I. Babuska and T. Stroubolis, *The Finite Element Method and its Reliability* (Oxford University Press, Oxford, 2001).
- ³⁵M. Brandbyge, N. Kobayashi, and M. Tsukada, Phys. Rev. B **60**, 17064 (1999).
- ³⁶V. Mujica, M.A. Ratner, and A. Nitzan, Chem. Phys. **281**, 147 (2002).
- ³⁷J. Taylor, M. Bradbuge, and K. Stokbro, Phys. Rev. Lett. **89**, 138301 (2002).